

HE and open access publishing

6.10.2021 Sari Leppänen

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Open access to scientific publications

The beneficiaries must ensure open access to peer-reviewed scientific publications relating to their results. In particular they must ensure:

- at the latest upon publication, deposition of the Author Accepted Manuscript (AAM) or Version of Record (VoR) in a trusted repository
- immediate open access via the repository under CC-BY or a license with equivalent rights; CC BY-NC and CC-BY-ND are allowed for monographs and other long-text formats
- information via the repository about any research output / tools / instruments needed to validate the conclusions of the scientific publication

**What does this
mean in practice?**



Peer-reviewed scientific publications

- Peer-reviewed scientific publications must be open access
- If peer-reviewed, open access must be provided to
 - Journal articles
 - Conference papers
 - Book chapters
 - Long-text formats (books, monographs, edited volumes)
 - Doctoral dissertations
- Recommendation: publications that are not peer-reviewed are also made open access

Suitable publication channels (venues)

- You can publish in a venue of your choice whether it is
 - a closed (e.g. subscription-based venue, no open access content)
 - an open access publishing venue (e.g. full open access journal or platform, all content published open access)
 - a hybrid publishing venue (part of the scholarly content provided open access, part is accessible through subscriptions or payments)
- On condition that you can comply all obligations related to open access

Immediate open access under a license

- You must deposit your publication in a trusted repository before or at publication time and immediately provide open access to it through the repository
 - Immediate open access: no embargoes allowed
 - Either the Author's Accepted Manuscript (AAM) or the Version of Record (VoR, final published version)
 - All publications must be self-archived regardless their venue
- Scientific publications must be licensed under Creative Commons Attribution International Public License (CC BY) or an equivalent license
 - Articles and book chapters: CC BY
 - Monographs, other long-text formats: license may exclude commercial use and derivate works (CC BY-NC, CC BY-ND or CC BY-NC-ND)

How to address OA in the proposal?

- HE Programme Guide, p. 41

Open access: Offer specific information on how you will meet the open access requirements, that is deposition and immediate open access to publications and open access to data (the latter with some exceptions and within the deadlines set in the DMP) through a trusted repository, and under open licenses. You may elaborate on the (subscription-based or open access) publishing venues that you will use. You may also elaborate on the trusted repository/repositories through which open access to publications and research data will be provided (article 17). Open access to research data and other research outputs should be addressed in the section on research data management of your proposal. Research data should be open as a default, unless there are legitimate reasons for keeping them closed. On open access to data and the legitimate reasons for restricting access, consult the AGA (article 17).

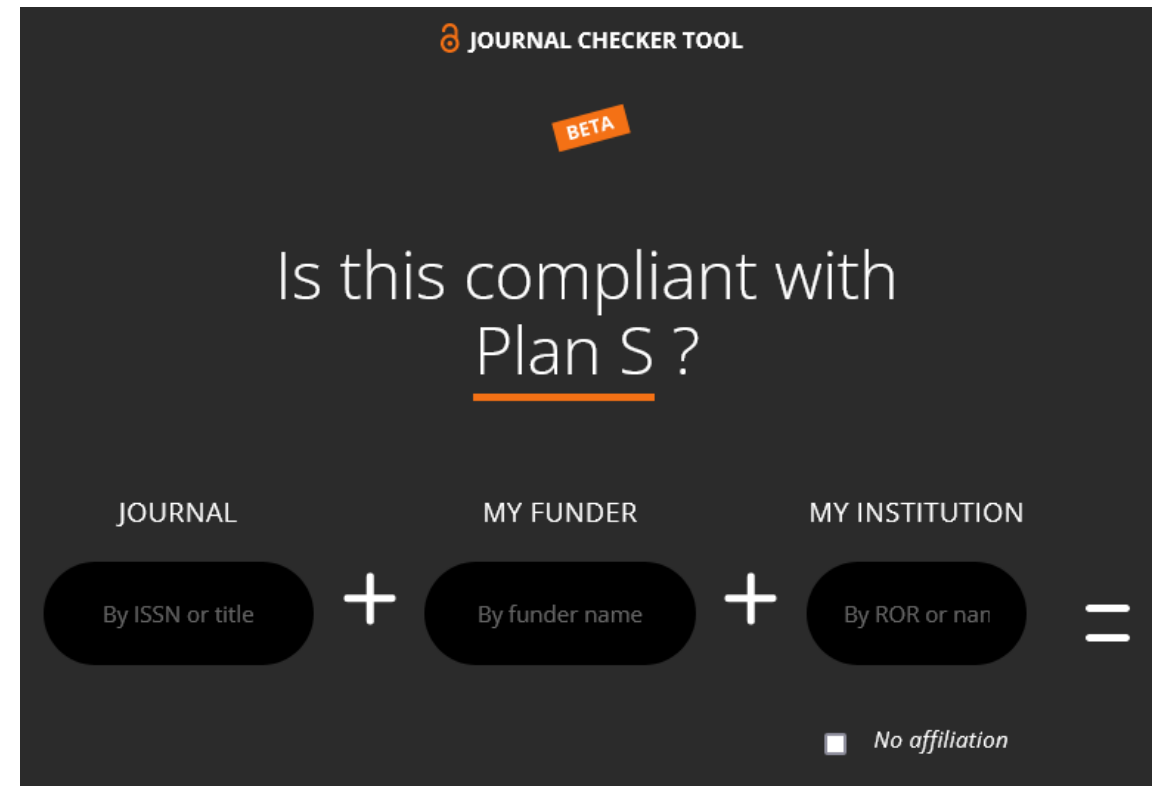
As a general rule, open access to other research outputs such as software, models, algorithms, workflows, protocols, simulations, electronic notebooks and others is not required but strongly recommended. Access to 'physical' results like cell lines, biospecimens, compounds, materials, etc. is also strongly encouraged.

Open Research Europe

- Is an open access publishing platform for research stemming from H2020 and HE (also post-grant): no costs for authors
- Fulfils HE OA obligations, supports reproducibility, transparency and impact
- Open research publishing model: publication within days of submission, followed by open invited peer review
- All articles are published open access under a CC-BY license
- Multidisciplinary, supports many different article types (study protocol, systematic review, research article, method)
- More information
 - Platform: <https://open-research-europe.ec.europa.eu/>
 - How it works: <https://open-research-europe.ec.europa.eu/about>
 - FAQs: <https://open-research-europe.ec.europa.eu/faqs>

Journal Checker Tool

- Helps to identify compliant journals and publication platforms
- Includes full OA journals, transformative journals (transitioning to full OA) and transformative agreements (e.g FinELib agreements)
- In beta stage (10/2021)
- <https://journalcheckertool.org/>



Other aspects to consider

- Early and open sharing of research
 - Check the preprint policy of the target journal in advance
 - Retain sufficient intellectual property rights
 - Notify publishers of your grant agreement obligations for open access at manuscript submission by adding a statement to your manuscript to ensure self-archiving (see the statement HE Programme guide page 49)
- Open peer review

APC funding

- Only publication fees in full open access venues for peer-reviewed scientific publications are eligible for reimbursement
 - Include in the budget proposal APCs and other publication fees for full open access publication channel
- Note: not eligible costs are
 - APCs in hybrid publication channels
 - Other publishing fees (including page charges and color charges) for publications in other channels than fully open access venues
 - Printing fees for books

Resources

- [Open access guide](#) includes discounts, publishers' websites and self-archiving
- [Publication Forum](#) searching for publishing channels
- [Sherpa Romeo](#) self-archiving policies
- [Directory of open access journals](#) for full open access journals
- [Journal Checker Tool](#) finding compliant journals
- [HE Programme Guide](#)
- [Annotated Model Grant Agreement](#)

Library offers support for OA

- Support at different stages of Open Access publishing
 - Self-archiving
 - Reliable publication channels
 - Commenting publication plans
 - APC discounts
- Trainings: <https://www.tuni.fi/en/library/open-science-and-research-support-services/open-science-trainings>
- Open Access guide: <https://libguides.tuni.fi/open-access/introduction>

Contact Open Access specialists: oa@tuni.fi

Questions?

